

SDS IREG FATCA, CRS and QI Reporting.

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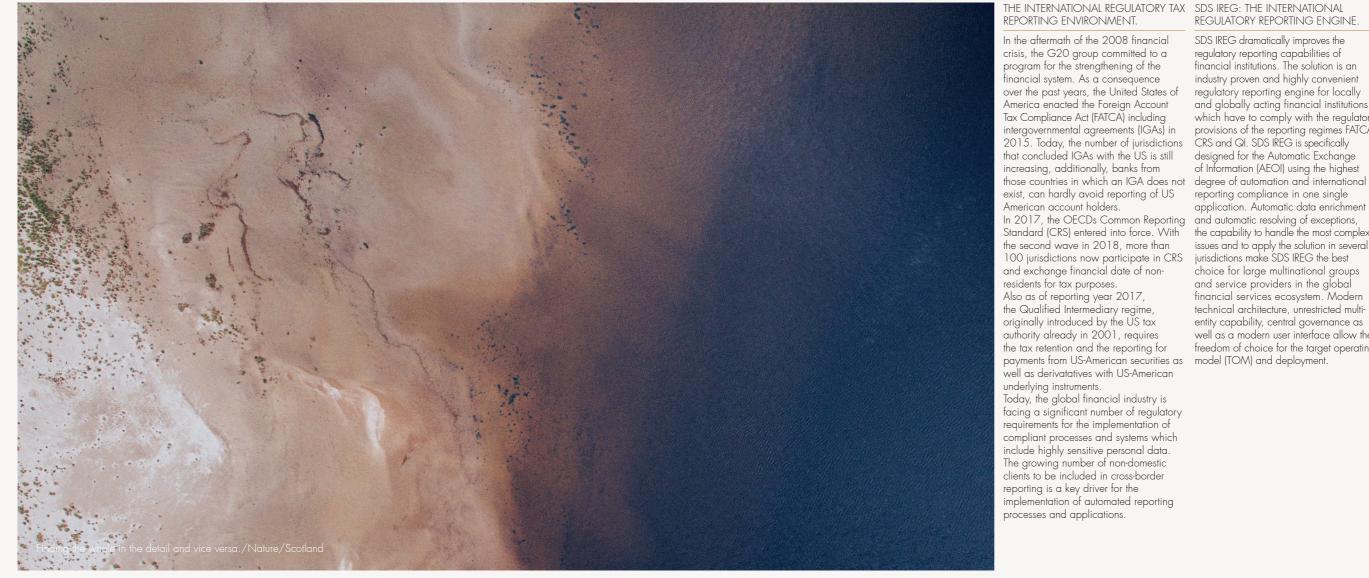
SDS IREG

Shares what's to be reported, protects what isn't. SDS IREG dramatically improves the regulatory reporting capabilities of financial institutions.



SDS IREG enables financial institutions and service providers to efficiently meet multiple reporting requirements in a single and value adding application.

SDS IREG



REGULATORY REPORTING ENGINE.

SDS IREG dramatically improves the regulatory reporting capabilities of financial institutions. The solution is an industry proven and highly convenient regulatory reporting engine for locally and globally acting financial institutions which have to comply with the regulatory provisions of the reporting regimes FATCA, CRS and QI. SDS IREG is specifically designed for the Automatic Exchange of Information (AEOI) using the highest reporting compliance in one single application. Automatic data enrichment the capability to handle the most complex issues and to apply the solution in several jurisdictions make SDS IREG the best choice for large multinational groups and service providers in the global financial services ecosystem. Modern technical architecture, unrestricted multientity capability, central governance as well as a modern user interface allow the freedom of choice for the target operating

FUNCTIONAL HIGHLIGHTS

Automatic transfer and storing of data (personal and account data, transactions, balances, financial products, transmitter data) via XMI online interfaces or CSV import. Data on accounts, financial products and personal data is stored historically and thus clearly documented. Import of data fromseveral different source systems.

Functional and technical validation of the transferred data and determination of the reporting relevance and reporting forms via the user-parameterisable rule engine for CRS, FATCA and QI reporting (1042-S, 1099-DIV, 1099-INT, 1099-B, 1099-OID, 1099-MISC, 8966, etc.).

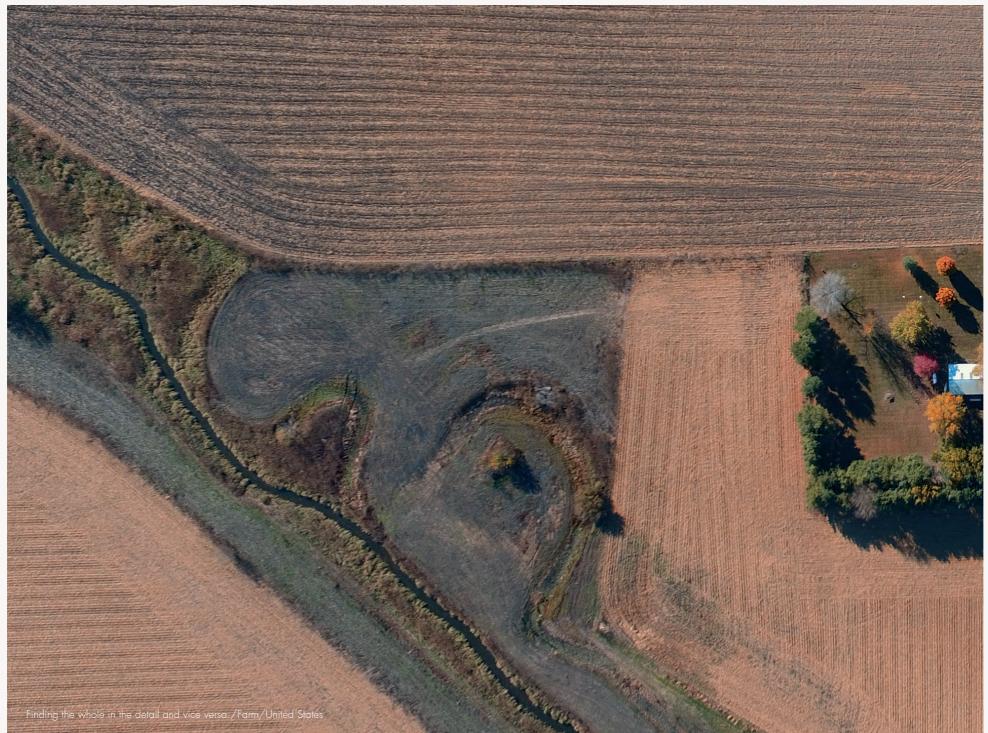
Automatic generation of exceptions in case of data that is erroneous or incomplete from a reporting perspective or are subject to a 4-eyes approval.

Fully automatic or manual takeover of notifications from the competent authorities (CA).

Automatic creation of correction reports for accounts already reported, the respective account holders or beneficial owners.

Depending on the type of the required change, one-level or two-level correction reports are created.

Optional Business Information Store for the creation of queries and analyses by standard business intelligence (BI) or artificial intelligence (AI) tools.



BUSINESS VALUES

REGULATORY REPORTING AUTOMATION HIGHEST BUSINESS EFFICIENCY

Highly-automated, high-quality and rulebased report generation engine, designed and business processes. Quick and for the future. Modular solution for the reporting schemes (FATCA, CRS and QI) on one single platform with one central database, timely adapted to regulatory changes. Multi-entity, multi-country and multi-language capabilities. The integrated exception handling guarantees efficient and complete reporting.

INDUSTRY PROVEN RELIABILITY

Highly reliable and industry preferred solution with a global reach in over 80 countries. Future-proof through a broad customer base which ensures continuous development and a very strong product roadmap.

CUSTOMISATION OF OPERATING MODELS

Freedom of choice for a tailor-made target operating model (on premise, shared service centres, etc.). Model configurations shared across financial institutions guarantees group-wide consistent processes. Supports both centralized hubs and local implementations (hub-and-spokemodel).

Support for centralized service centres easy integration into existing application environments. Minimising operational risks and costs via fully automated Straight Through Processing. Let you keep your efforts and costs under control.

FUTURE SCALABILITY AND ADAPTABILITY

Comes with built-in flexibility, unlimited scalability and regular adaptions, designed for unlimited volumes.

FULL COMPLIANCE SUPPORTED

Coverage of all functional requirements for extensive data-security, access control, audit and tracing capabilities. Data protection and secrecy over configuration and data segregation on financial institution level. Complies with standard IT policies and technology stacks of the financial industry.

PROVEN TRACK RECORD 3,000 reporting Fls

3,500 users

80+ countries

60+ country specific local schemes

2 out of 4 major UK banks

CUSTOMER EXCERPTS



SDS SBIS for SDS IREG The perfect Business Information Store regarding analysis and reporting.

THE OVERALL VIEW ON DATA AND CHANGES TO DATA. SDS SBIS for SDS IREG provides the perfect basis for audits, reconcilation, business intelligence, management reports and many others regarding analysis and reporting.

BUSINESS INFORMATION STORE

The Automatic Exchange of Information requires the import and the processing of a tremendous amount of data. Depending on the size and customer characteristics of a bank, the number of reportingrelevant accounts and transactions can easily be several millions. These numbers can even be higher for international banking groups.

Performance-optimised processing is therefore an absolute necessity. On the other hand, financial institutions are faced with the requirement to control and analyse the data volumes fast and in an efficient way and to make data available for other use cases, like audits, reconciliation, and business intelligence or management reports.



SDS SBIS for SDS IREG: THE PERFECT ENHANCEMENT.

In order to meet the various requirements processing as well as data from CRM in an ideal way, it is common practice to separate data processing (Online Transaction Processing OLTP) from the analysis of data (Online Analytical Processing OLAP). With this separation it can be ensured that analysis and queries can be carried out in a performant way, without affecting data processing in the production system. Many financial institutions are forced to consolidate data from various source systems in a single solution for the first time ever. This includes how they change over time. data from securities and derivatives

and payment systems. Therefore, this is a unique opportunity to get an easy overall view on these data and exploit these data for analysis, e.g. for compliance reasons. Another example is the analysis of payment flows: The reporting regime CRS requires the reporting of transactions of account holders who have their tax residency abroad. With SDS SBIS for IREG it is also possible to analyse the payment flows of these customers and

FUNCTIONAL HIGHLIGHTS

Assists with audits, reconciliation, controlling, business intelligence, management reports and many more.

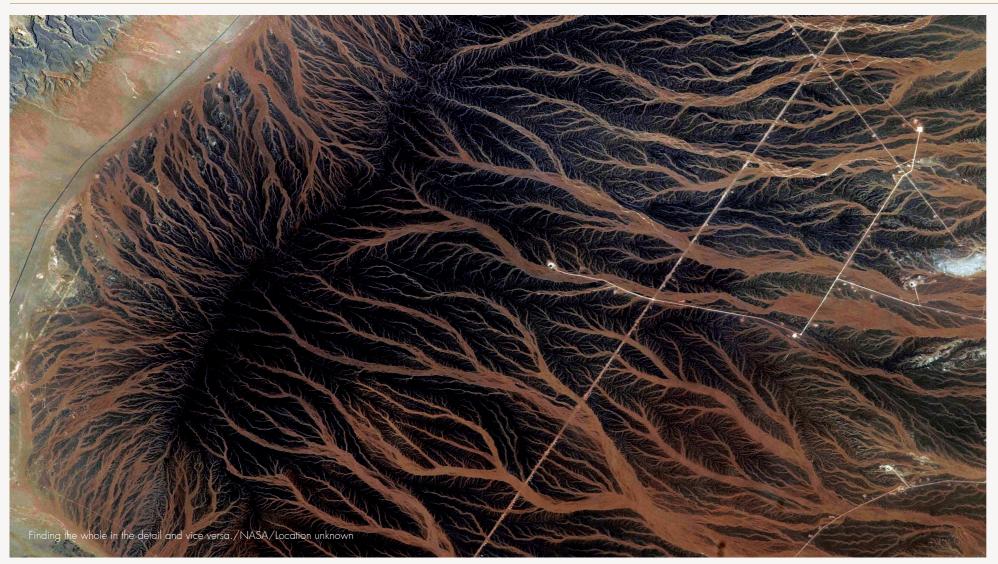
Provides all data imported to or processed by SDS IREG for analysis purposes.

Allows user-defined queries for these data.

Allows standard and ad-hoc queries.

Can be populated via near-time or batch provisioning.

Contains almost 5,000 attributes in 100 database tables.



BUSINESS VALUES

ADDING BUSINESS INTELLIGENCE VALUE

The business information store adds business intelligence to the automated reporting solution. Provides a business view on the data. Optimized for data mining, analysis and queries by redundant SDS SBIS for IREG. Accessible with all keeping of data.

SWIFT INTEGRATION AND CONVENIENT OPERATION

Automatic integration to the regulatory reporting engine. No queries on the production system necessary. Automated journal logs all changes in SDS IREG and common business intelligence tools.

Working with SDS

SDS is continuously setting digital standards in financial market operations, worldwide with over 10,000 users regulations and compliance solutions for in about 80+ countries trust in SDS the international financial industry. The comprehensive SDS portfolio covers state With our proven industry experience of the future products and services for all of over 4 decades, we have become customer and market-related processes, ranging from global securities and derivate processing, regulatory, tax and all over the world. SDS is Member of compliance automation, solution-based consulting, professional testing services to managed services.

More than 3,000 financial institutions and its sustainable business values. a highly trusted and equally reliable partner of renowned financial institutions Deutsche Telekom, one of the world's leading providers of information and communications technology. www.sds.at

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